



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Harriet L. Robinson

Serial No.: 07/855,562

Filed: March 23, 1992

Title: IMMUNIZATION BY INNOCULATION OF DNA

TRANSCRIPTIONAL UNIT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an anvelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 5-19-90

Hamilton, Brook, Smith & Reynolds, P.C.

Transmittal of Declaration/Power of Attorney

The Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Transmitted herewith is an executed Declaration/Power of Attorney document for filing in the above-referenced case. Enclosed is a check in the amount of \$60.00 for the surcharge for filing the Declaration/Power of Attorney document later than the filing date of the application.

080 KJ 05/29/92 07855562

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60.00 CK

A copy of the Verified Statement claiming small entity is being filed concurrent with this response and is also enclosed.

Please charge any additional fees due in this matter to Applicant's Attorney's Deposit Account No. 08-0380. Two copies of this letter are enclosed for accounting purposes.

Respectfully submitted,

Patricia Granalian

Patricia Granahan

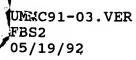
Registration No. 32,227

Attorney for Applicant

(617) 861-6240

Dated: May 19, 1992

Lexington, MA 02173





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Hamilton, Brook, Smith & Reynolds, P.C.

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Transmittal of Verified Statement
and Request for Reimbursement

The Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Applicant's Attorney submits herewith for filing in the above-identified Patent Application a Verified Statement Claiming Small Entity Status - Nonprofit Organization. In view of the filing of the Verified Statement, Applicant's Attorney hereby requests a refund of a \$345.00, one-half of the original filing fees (\$690.00) to be credited to Deposit Account No. 08-0380. Two duplicate copies of the transmittal letter are enclosed for accounting purposes.

Respectfully submitted,

Patricia Granahan

Patricia Granahan

Registration No. 32,227

Attorney for Applicant

(617) 861-6240

Dated: Noy 19, 1992

Lexington, MA 02173

Appl:	cant or Patentee Harr	iot I Pobinson		Attorney's
_	or Patent No.: 07/8			Docket No.: UMMC91-03
	Issued: March 23,			
EO	Immunizati	on By Inoculation o	f DNA Transcript	ional Unit
Z N	181 H			
13 Par.	TIRAL	and $1.27(d) - NC$	NPROFIT ORGAN	IZATION
I hei	eby declare that I am a	_	ered to act o	on behalf of the
-	ofit organization ident			
	OF ORGANIZATION Uni		setts Medical Ce	nter
ADDRI	SS OF ORGANIZATION 55		 	
	Wor	cester, Massachuset	ts.	
TYPE	OF ORGANIZATION	•	,	
[xx]	UNIVERSITY OR OTHER INS			
[]	TAX EXEMPT UNDER INTERN	AL REVENUE SERVI	CE CODE	
	(26 USC 501(a) and 501(c)(3))		
[]	NONPROFIT SCIENTIFIC OF	EDUCATIONAL UNI	ER STATUTE OF	
	STATE OF THE UNITED STA	TES OF AMERICA		
•	(NAME OF STATE)	
	(CITATION OF STATUTE)	
[]	WOULD QUALIFY AS TAX EX	EMPT UNDER INTER	NAL REVENUE S	SERVICE CODE
	26 USC 501(a) and 501(c	(3)) IF LOCATED	IN THE UNITE	D STATES OF
AMER:	CA			
[] WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATE				
	STATE OF THE UNITED STA	TES OF AMERICA I	F LOCATED IN	THE UNITED STATES
	OF AMERICA			
	(NAME OF STATE)	
	(CITATION OF STATUTE)	
I her	eby declare that the no	onprofit organiza	tion identifi	ed above qualifies
as a	nonprofit organization	as defined in 37	CFR 1.9(e) f	or purposes of
payi	g reduced fees under se	ection 41(a) and	(b) of Title	35, United States
Code	with regard to the inve	ention entitled _		
				by inventor(s)
[]	the specification filed	herewith		
[]	application serial no.	, fi	led	
[]	patent no.	, issued_	•	
	ceby declare that rights			
	emain with the nonprofi			
	ified invention.	-	_	

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

which would not qualify as a small business concern under 37 CFR 1.9(d)
or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate
verified statements are required from each named person, concern or
organization having rights to the invention averring to their status as
small entities. (37 CFR 1.27)
NAME
ADDRESS
[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION
NAME
ADDRESS
[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION
I acknowledge the duty to file, in this application or patent, notifica-
tion of any change in status resulting in loss of entitlement to small
entity status prior to paying, or at the time of paying, the earliest of
the issue fee or any maintenance fee due after the date on which status
as a small entity is no longer appropriate. (37 CFR 1.28(b))
I hereby declare that all statements made herein of my own knowledge are
true and that all statements made on information and belief are believed
to be true; and further that these statements were made with the knowledge
that willful false statements and the like so made are punishable by fine
or imprisonment, or both, under section 1001 of Title 18 of the United
States Code, and that such willful false statements may jeopardize the
validity of the application, any patent issuing thereon, or any patent to
which this verified statement is directed.
DAVID L. ENTIN
Assistant Dean For NAME OF PERSON SIGNING Person Administration
TITLE IN ORGANIZATION Research Administration
ADDRESS OF PERSON SIGNING 55 Lake Avenue, North Worcester, MA 01655
O O O
SIGNATURE A AUX SAN DATE 5.7.92